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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/542,674
Filing Date	04/01/2000 (03/31/2000)
First Named Inventor	ROUSE, GRAHAM M., JR.
Group Art Unit	3712
Examiner Name	
Attorney Docket Number	

Total Number of Pages in This Submission 8

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- LETTER 12/08/2000
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	- RECEIPT COPY 03/31/2000
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	- COPY TRANSMITTAL FORM/CERTIFICATE OF MAILING 03/31/2000
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	THE "FILING/RECEIPT DATE" OF 04/01/2000 SHOULD BE CHANGED TO 03/31/2000. 03/31/2000 IS THE DATE OF MAILING. A CERTIFICATE OF MAILING ON 03/31/2000 WAS INCLUDED WITH THE APPLICATION. R. Rouse Jr.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	GRAHAM M. ROUSE, JR.
Signature	R. Rouse Jr.
Date	08/12/2000

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 08/12/2000

Typed or printed name	GRAHAM M. ROUSE, JR.
Signature	R. Rouse Jr.
Date	08/12/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

AUG 16 2000

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

## Complete If Known

Application Number	09/542,674
Filing Date	04/01/2000 (03/31/2000)
First Named Inventor	ROUSE, GRAHAM M., JR
Examiner Name	
Group / Art Unit	3712
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TOTAL AMOUNT OF PAYMENT (\$)65<sup>00</sup>

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105 130 205 65</td> <td></td> <td>Surcharge - late filing fee or oath</td> <td>65<sup>00</sup></td> </tr> <tr> <td>127 50 227 25</td> <td></td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139 130 139 130</td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147 2,520 147 2,520</td> <td></td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112 920* 112 920*</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113 1,840* 113 1,840*</td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115 110 215 55</td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116 380 216 190</td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117 870 217 435</td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118 1,360 218 680</td> <td></td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128 1,850 228 925</td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119 300 219 150</td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120 300 220 150</td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121 260 221 130</td> <td></td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138 1,510 138 1,510</td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140 110 240 55</td> <td></td> <td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	GRAHAM M. ROUSE, JR	Registration No. (Attorney/Agent)	Telephone 803 256 9743
Signature	<i>[Signature]</i>	Date	08/12/2000

### WARNING:

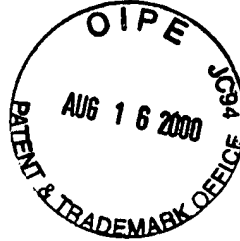
Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Graham M. Rouse, Jr.  
430 Queen St.  
Columbia, SC 29205

August 12, 2000

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



RE: Patent Application # 09/542,674

Dear Sir:

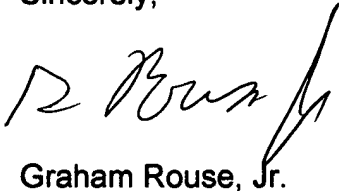
This letter is to request that the filing date of this application be corrected from 04/01/2000 to 03/31/2000.

03/31/2000 is the actual date of mailing of this application. A certificate of mailing on 03/31/2000 was included with the application. (A copy is attached.)

I picked up the express mail envelope and purchased stamps for the envelope on the afternoon of the 31<sup>st</sup>. (A copy of the receipt is attached.) It was only later in the evening that I completed the application. The post office was already closed w/hen I mailed the application. Apparently, the envelope was postmarked the next day when the post office reopened.

It was and is my understanding that the certificate of mailing is the recognized guide for establishing the mailing date for correspondence to the Patent Office. I would appreciate your cooperation in adjusting the filing date for this application.

Sincerely,



Graham Rouse, Jr.

# TRANSMITTAL FORM

as the need for all responsibility after 1945 was

**Transmittal of Pages of the Submittal**

**[REDACTED]**

11

DATE 31, 2000

**SECRET**

**GUANO R. ROUFFIL**

**Original Line**

## Training Data

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A circular black and white stamp from the Patent &amp; Trademark Office. The text "PATENT &amp; TRADEMARK OFFICE" is written around the bottom half of the circle. In the center, the date "JUN 16 2000" is stamped. The top half of the circle is partially obscured by the document's edge.

**OVERLAPPING**    **head of the snake**

- ☒ Fee Transmittal Form
- ☒ Fee Statement
- ☐ Standard Response
- ☐ After-Paid
- ☐ After-Notice-to-Comply
- ☐ Extension of Time Request
- ☐ Service Maintenance Request
- ☐ Information Disclosure Request
- ☐ Certified Copy of Party Subpoena
- ☐ Plaintiff's Moving Paper/ Intervention Application
- ☐ Defendant's Moving Paper under 27 CFR 1.52 or 1.53

- ☐ Assignment Papers
- ☒ *Set of Application*
- ☒ *Drawings*
- ☐ Learning-aid Papers
- ☐ Pattern-Reading To *Y-O-C-E-R*
- ☐ Pattern-Reading To *Y-O-C-E-R* and Accompanying Pattern
- ☐ Pattern In-Charts 8-4
- ☐ Promotional Application
- ☐ Range of Memory, Reaction
- ☐ Change of Correspondence Address
- ☐ Typewritten Zucchini
- ☐ Small Early Statement
- ☐ Request for Refund

- ☐ After Absence Continued  
to Drug
- ☐ Assist Communication to Board  
of Agents and Workmen
- ☐ Assist Communication to Great  
Power House and Ship Dock
- ☐ Proprietary Information
- ☐ State Letter
- ☒ Address Envelope  
(please clearly label)

DATED APRIL 20 1964  
 AT NEW YORK  
 THOMAS J. PATE  
 SPECIAL ATTORNEY  
 DECLARATION AND

ST-11 226-6-251

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

GRANIA M. ROUSE, JR.

**████████████████████**

12 June 11



**MARCH 31, 2000**

## CERTIFICATE OF MAILING

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\_\_\_\_\_

**GRACIA M. ROUSE JR**

\_\_\_\_\_

**THE UNIVERSITY OF CHICAGO**



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## FORMALITIES LETTER



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Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/542,674	04/01/2000	Graham M. Rouse JR.	-

Graham M Rouse Jr  
430 Queen Street  
Columbia, SC 29205

Date Mailed: 06/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

*AK T-6*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/17/2000 SDENBOB1 00000049 09542674

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65.00 OP